

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 26 NOVEMBER 2001.

11/26/01  
Date

  
Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

O'CONNOR et al.

Serial No.: 09/922,218

Group Art Unit: Unassigned

Filing Date: August 3, 2001

Examiner: Unassigned

Title: HYDROGEL PARTICLE FORMULATIONS

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Box Missing Part  
Washington, D.C. 20231

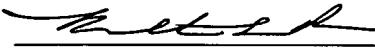
Sir:

This is in response to the Notice to File Missing Parts dated September 24, 2001. Transmitted herewith for filing are the following documents: a copy of the Notice to File Missing Parts; Combined Declaration and Power of Attorney signed by the inventors; a Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account; a second Preliminary Amendment; and an Information Disclosure Statement, Form PTO 1449 and copies of cited references. Also enclosed is a check in the amount of \$1434 (surcharge, \$130; statutory basic filing fee, \$740; additional claim fees, \$564) to cover the fees required.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/26/01

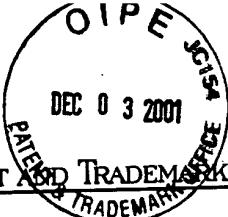
By:   
Roberta L. Robins  
Registration No. 33,208

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90 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 325-7812  
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#3



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,218	08/03/2001	Barbara Horsey O'Connor	7010-0018; OPF0018.20

RECEIVED  
 OCT 1 - 2001  
 ROBINS & PASTERNAK LLP

CONFIRMATION NO. 7233

FORMALITIES LETTER

\*OC000000006780279\*



ROBINS & PASTERNAK LLP  
 Suite 200  
 90 Middlefield Road  
 Menlo Park, CA 94025

Date Mailed: 09/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1044.
  - \$324 for 18 total claims over 20.
  - \$720 for 9 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1884.

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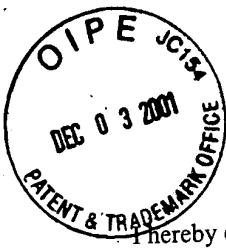
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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Atty Dkt 7010-0018  
PATENT

#3  
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In Re Application of:

O'CONNOR et al.

Serial No.: 09/922,218

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Filing Date: August 3, 2001

Examiner: Unassigned

Title: HYDROGEL PARTICLE FORMULATIONS

**AMENDMENT TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is a second preliminary amendment in the above patent application.

Petition for Extension of Time enclosed.

No additional fee is required.

Also enclosed: Version with Markings to Show Changes Made;  
Currently Pending Claims; postcard

No. of Claims After Amendment		Most Claims Previously Paid			Extra Claims			Additional Fee	
A. Total Claims	42	-	20	=	22	x	\$18	=	\$396
B. Ind. Claims	5	-	3	=	2	x	\$84	=	168
C. If amended to contain multiple dependent claims, add 280							\$280	=	\$
D. Total Amendment Fee (Total of A, B & C)								=	\$564
E. If small entity, 50% reduction of Total Amendment Fee (50% of D)								=	
F. Total Amendment Fee (D minus E)								=	\$564

Atty Dkt 7010-0018  
PATENT

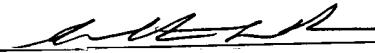
X A check for \$ 1434 to cover the extra claims fee is attached.

       Charge \$ to Deposit Account No. 18-1648.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 18-1648.

Respectfully submitted,

Date: 11/26/01

By: 

Roberta L. Robins  
Registration No. 33,208  
Attorney for Applicants

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